



2826

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/877,640	
	Filing Date	June 8, 2001	
	First Named Inventor	Smith, John S.	
	Art Unit	2826	
	Examiner Name	Thomas L. Dickey	
Total Number of Pages in This Submission	4 **	Attorney Docket Number	18062R-002132US

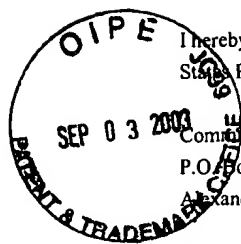
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Firm or Individual	Townsend and Townsend and Crew LLP George B. F. Yee Reg. No. 37,478
Signature	
Date	August 29, 2003

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PATENT  
Attorney Docket No.: 18062R-002132US  
Client Reference No.: B94-014-6

On 8/29/03

TOWNSEND and TOWNSEND and CREW LLP

By: 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John Stephen Smith

Application No.: 09/877,640

Filed: June 8, 2001

For: METHOD AND APPARATUS FOR  
FABRICATING SELF-ASSEMBLING  
MICROSTRUCTURES

Examiner: Thomas L. Dickey

Art Unit: 2826

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

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Sir:

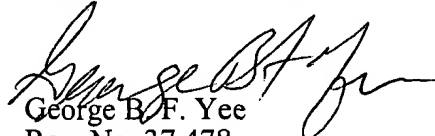
The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

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Respectfully submitted,

  
George B.F. Yee  
Reg. No. 37,478

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/877,640
Filing Date	June 8, 2001
First Named Inventor	Smith, John S.
Art Unit	2826
Examiner Name	Thomas L. Dickey
Attorney Docket Number	18062R-002132US

**U.S. PATENT DOCUMENTS+**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA	US-				
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	AF	US-				
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**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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